

Reference

Key Questions for Korea-US Collaborative Research Project Call for New Project Applications

No.	Category	Key Question	Answer
1	Research Director	How does the 3 Research Director 5 Project rule apply?	In the case of a joint institution type (limited to cases where an overseas institution participates as a collaborative institution and bears the research cost), the 4 Research Director 6 Project rule applies. (▶ Refer to <i>International Joint Research Manual for National R&D Projects</i> , p.12)
2	Proposal Form	Is an English template provided?	An English RFP and an English proposal template are provided.
3	Research Funding	In the case of a collaborative institution, what does it mean for the overseas institution to bear research costs?	It refers to the research funding that the overseas institution must necessarily contribute for the execution of the project (▶ Refer to <i>Enforcement Decree of the Innovation Act</i> , Article 64). Both cash and in-kind contributions are allowed.
4		Is there a set ratio for cash or in-kind matching when the overseas institution provides R&D funding?	There are no restrictions on the items or ratios. Matching can be done with either cash only or in-kind only.
5		Are there any guidelines for allocating research funding to the overseas institution?	The research director may determine the allocation based on the appropriateness of the research funding.

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6		If research funding is provided to an overseas institution, how should the institution's indirect costs be calculated?	Indirect costs for overseas institutions must not exceed 38% of direct costs.
7		When applying for the project, how should documentation for in-kind contributions be prepared?	No separate commitment form is required. The contribution details must be included in the research proposal. Please fill out the relevant sections freely in the master file under 'III. budget plan' item 2, and in the 'III. budget plan' item 3 of the file '2-3. [collaboration-US]' under 'Research resources~'.
8	Contract Research	Can a collaborative R&D institution also establish contract research?	No, only the lead principal R&D institution can establish contract research. Collaborative R&D institutions are not permitted to do so.
9		Is a subcontracted company included in the institutional matching fund?	It is not mandatory. (RFP Attachment 10 is required only when a company participates as the lead or a collaborative R&D institution.)
10		Can a U.S. institution be designated for contract research? What about an institution outside the U.S.?	Overseas institutions cannot participate as commissioned R&D organizations.
11	Supporting Documents	Do you provide a specific format for the LOI?	No specific LOI format is provided. The overseas institution may freely prepare and submit their own LOI.

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12	Eligibility	Can a co-research director apply for more than one project?	No. Only one project application is allowed.
13		Can an overseas co-research director apply for more than one project?	No. Only one project application is allowed.
14	Participation of Overseas Institutions	Are European countries eligible to participate?	No. Only collaborative research with U.S.-based institutions is supported.
15		Are only institutions located in the Boston area eligible?	No. Any researcher affiliated with a U.S.-based university, research institute, or hospital may apply, regardless of their location within the U.S.
16		Can an overseas "company" participate as an overseas R&D institution in this program?	No. Overseas companies are not eligible.
17		Is only one overseas R&D institution allowed per project, or can multiple be designated?	More than one overseas institution may be designated per project.
18	Researcher Classification and Conditions	In a collaborative R&D project (institution), are both the research director and other researchers classified as "participating researchers"?	Yes. They are categorized as participating researchers (applicable to one collaborative institution).
19		If an overseas researcher participates as part of a collaborative institution, are they subject to the 3 Research Director 5 Project rule?	No. Overseas researchers are not subject to the 3 Research Director 5 Project restriction.
20		If the research director at the domestic lead principal institution is scheduled to retire during the project period, is application still possible?	Yes, but a separate "Commitment to Participate" letter must be submitted, confirming that the institution guarantees the research director's support throughout the project duration.

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21	Project Composition	Can multiple (two or more) overseas research institutions be included?	Yes, it is allowed.
22		What does it mean that the same R&D institution cannot participate redundantly as both lead and co-research institution in one project?	This means that if Institution A is the lead principal institution, it cannot also participate as a co-research institution or subcontractor. In other words, a single institution cannot be duplicated in multiple roles within the same project.
23		Can U.S. government-affiliated researchers (e.g., NIH), U.S. public benefit corporations, or international organizations located in Korea participate as collaborating institutions?	Yes. Any institution that qualifies as a research and development institution under the Framework Act on National R&D Innovation is eligible. Institutions that have previously participated in national R&D projects are generally eligible to apply.
24		Can an overseas institution serve as the lead institution?	No. Only domestic (Korean) institutions can serve as the lead institution.
25		Is personnel exchange between overseas institutions mandatory?	No, it is not a mandatory requirement.
26		What should be entered as the R&D project number?	You should enter the project number assigned during project creation in IRIS (it begins with "RS-").

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27	Proposal and Additional Documents	Do I need to submit the 2-1 Master research proposal file and also the 2-2 to 2-4 files?	Yes. Each participating institution must complete its respective proposal form. The lead principal institution should compile and submit the 2-1 Master file as an overview.
28		If the consortium consists of one lead principal institution, one U.S. collaborating institution, and three domestic collaborating institutions, how should the documents be submitted?	For the planning forms, one copy each of Word files 2-2, 2-3, and 2-4 must be prepared per institution. If there are three domestic collaborating institutions, a separate 2-4 file must be prepared for each, resulting in three copies. For example: 2-1 Master (1 copy), 2-2 Lead Institution (1 copy), 2-3 Overseas Collaborating Institution (1 copy), and 2-4 Domestic Collaborating Institutions (3 copies).
29		What is the "List of Representative Previous Publications Related to the Proposed Study"?	It refers to papers, patents, and other publications resulting from prior research relevant to the topic of the current proposal.
30		Does the "References" section count toward the 10-page limit?	No. The "I. Research Plan" section must be within 10 pages, excluding references.
31		For overseas institutions, it may be difficult to obtain an IRB commitment letter with an official seal. Is the IRB commitment letter required at the time of application?	At the time of application, you may submit an "IRB Application Form." If selected, the IRB approval letter must be submitted by the time of agreement signing.